

A surface and  
a threefold with  
equivalent  
singularity categories

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Tokyo-Nagoya Algebra Seminar

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The  
Buchweitz – Orlov  
Singularity category

$X$  quasi-proj. variety /  $\mathbb{C}$

$$\text{Perf}(X) \hookrightarrow D^b(\text{Coh } X) \twoheadrightarrow D_{\text{sg}}(X) := \frac{D^b(\text{Coh } X)}{\text{Perf}(X)}$$

'smooth part'  
consisting of  
bounded complexes of  
vector bundles

Singularity Category  
measures  
complexity of  
singularities of  $X$

Thm (Auslander - Buchsbaum & Serre)

$$X \text{ smooth} \iff D_{\text{sg}}(X) = 0$$

Thm (Orlov) If  $X$  has isolated singularities

$$D_{\text{sg}}(X) \cong \bigoplus_{S \in \text{Sing}(X)} D_{\text{sg}}(\hat{\mathcal{O}}_S)$$

(up to taking direct summands)

where

$$D_{\text{sg}}(\mathbb{R}) := \frac{D^b(\text{mod-}\mathbb{R})}{K^b(\text{proj-}\mathbb{R})}$$

singularity category of a

noetherian ring  $\mathbb{R}$ .

Rem:

In other words,  
in isolated case, suffices  
to understand

$D_{sg}(\mathbb{R})$ .

How fine is  
the invariant  
 $D_{sg}(R)$  ?

( i.e. when  $D_{sg}(R) \cong D_{sg}(S)$  ?

Call such rings  $R$  and  $S$   
singular equivalent )

Complete list of known  
singular equivalences between  
Commutative

Complete local  $\mathbb{C}$ -algebras:

(0)  $D_{\text{sg}}(R) = 0 = D_{\text{sg}}(S)$ , if  $\text{gldim } R, S < \infty$

(1) **[Knörrer '87]** Let  $0 \neq f \in \mathbb{C}[[z_1, \dots, z_d]] =: P_d$

$$D_{\text{sg}}\left(\frac{P_d}{(f)}\right) \cong D_{\text{sg}}\left(\frac{P_d[[y_1, \dots, y_{2n}]]}{(f + y_1^2 + \dots + y_{2n}^2)}\right)$$

Notation:

$$\mathbb{C}[[z_1, \dots, z_d]]^{\frac{1}{m}(a_1, \dots, a_d)}$$

invariant ring of group action:

$$z_i \longmapsto \varepsilon_m^{a_i} z_i$$

( $\varepsilon_m \in \mathbb{C}$  a primitive  $m$ -th root of unity.)

$$(2) D_{Sg} \left( \mathbb{C}[z_1, z_2]^{\frac{1}{m}(1,1)} \right) \simeq D_{Sg} \left( \frac{\mathbb{C}[z_1, \dots, z_{m-1}]}{(z_1, \dots, z_{m-1})^2} \right)$$

[D. Yang, Y. Kawamata, K.-Karmazyn]  
all  $\sim 2015$

$$(3) D_{Sg} \left( \mathbb{C}[z_1, z_2, z_3]^{\frac{1}{2}(1,1,1)} \right) \simeq D_{Sg} \left( \mathbb{C}[z_1, z_2]^{\frac{1}{4}(1,1)} \right)$$

[K. 2021]



## Remarks:

(a) The Krull dimensions of these invariant rings in (3) are 3 and 2, respectively.

In particular, this singular equivalence does not preserve the parity of Krull dimensions.

b) Knörrer's equivalences are the only known non-trivial Gorenstein examples.

Last time: reported on some evidence for no further singular eq. involving hypersurfaces

# Proof

of singular equivalences:

$$(2) D_{\text{sg}} \left( \mathbb{C}[z_1, z_2]^{\frac{1}{m}(1,1)} \right) \cong D_{\text{sg}} \left( \frac{\mathbb{C}[z_1, \dots, z_{m-1}]}{(z_1, \dots, z_{m-1})^2} \right)$$

$$(3) D_{\text{sg}} \left( \mathbb{C}[z_1, z_2, z_3]^{\frac{1}{2}(1,1,1)} \right) \cong D_{\text{sg}} \left( \mathbb{C}[z_1, z_2]^{\frac{1}{4}(1,1)} \right)$$

Def: A noetherian  $\mathbb{C}$ -algebra  $R$  is syzygy simple of order  $m$  if there exist  $S \in \text{mod-}R$  s.t.

(s1) For every  $M \in \text{mod-}R$  there is  $n \in \mathbb{N}$  s.t.  $\Omega^n(M) \in \underline{\text{add-}}S$ .

(s2)  $\underline{\text{add-}}S \cong \text{mod-}\mathbb{C}$

(s3)  $\Omega(S) \cong S^{\oplus m}$  in  $\underline{\text{mod-}}R$ .

Prop (cf. X.-W. Chen)

Let  $R$  &  $T$  be syzygy simple of order  $m$ . Then

$$D_{\text{sg}}(R) \cong D_{\text{sg}}(T).$$

Examples: (a)  $K_m := \frac{\mathbb{C}[z_1, \dots, z_m]}{(z_1, \dots, z_m)^2}$

is syzygy simple of order  $m$ ,

with  $S = \frac{\mathbb{C}[z_1, \dots, z_m]}{(z_1, \dots, z_m)}$  simple

(b)  $R_m := \mathbb{C}[[z_1, z_2]]^{\frac{1}{m+1}(1,1)}$

is syzygy simple of order  $m$ ,

where  $0 \rightarrow S \rightarrow R_m^{\oplus 2} \rightarrow N \rightarrow 0$  is AR-seq.

in  $\text{CM}(R_m)$ .

(c)  $R := \mathbb{C}[[z_1, z_2, z_3]]^{\frac{1}{2}(1,1,1)}$

is syzygy simple of order 3.

Here,  $S = \Omega(W_R)$ .

In combination with  
the proposition above  
this shows all **known** non-trivial  
singular equivalences between  
complete commutative rings  
except for Knörrer's.

┌ Will explain these examples in  
more detail later. ┘

Proof

of

Proposition

$$\begin{array}{ccccc}
 \text{mod-}R & \hookrightarrow & D^b(\text{mod-}R) & \longrightarrow & D_{\text{sg}}(R) \\
 & & & & \uparrow F \\
 & \searrow & \underline{\text{mod-}R} & & 
 \end{array}$$

By definition, of syzygies

$$0 \rightarrow \Omega(M) \rightarrow R^n \rightarrow M \rightarrow 0 \quad \text{exact}$$

} induces

$$\Omega(M) \rightarrow 0 \rightarrow M \xrightarrow{\cong} \Omega(M)[1] \quad \text{triangle in } D_{\text{sg}}(R)$$

$$\Rightarrow F(\Omega(M)) \cong F(M)[-1]$$

$$\frac{\text{mod-}\mathcal{R}}{\Omega} \xrightarrow{F} D_{\text{sg}}(\mathcal{R})$$

$$\begin{array}{c} \bigcirc \xrightarrow{\uparrow} \\ \Omega \end{array} \quad \begin{array}{c} \bigcirc \xrightarrow{\uparrow} \\ [-1] \end{array} \cong$$

If  $F$  is an equivalence

$\Rightarrow \Omega$  is an equivalence

The converse also holds:

Thm (Buchweitz, Happel, Keller & Vossieck, Rickard)

The following are equivalent:

(a)  $F$  is an equivalence.

(b)  $\Omega$  is an equivalence.

(c)  $\mathcal{R}$  is self-injective.



Problem:

Our algebras

are **not**

self-injective in general

(they are not even  
Gorenstein!)

Example:

$$K_2 = \frac{\mathbb{C}[z_1, z_2]}{(z_1, z_2)^2} = \mathbb{C} \left( \begin{array}{c} z_1 \\ \circ \rightleftharpoons \left( \begin{array}{c} z_2 \\ \circ \end{array} \right) \\ \text{(arrows)}^2 \end{array} \right)$$

$$\text{Let } S = K_2 / \text{rad } K_2 = \begin{array}{c} 0 \\ \circ \end{array} \mathbb{C} \begin{array}{c} \circ \\ 0 \end{array}$$

simple  $K_2$ -module.

$$\text{Then } \Omega(S) \cong S \oplus S.$$

$\leadsto \Omega$  is not an autoequivalence  
of mod- $K_2$  !

e.g. it cannot be full as  
 $0 \neq S \in \text{mod-}K_2$

"Solution" (Heller 1968):

There is a universal category

$$\text{St}(\underline{\text{mod}}\text{-}R, \Omega) =: \mathcal{C}$$

"enlarging" mod- $R$

so that  $\Omega$  becomes

an autoequivalence

Universal property implies:

$$\begin{array}{ccc} \underline{\text{mod-}R} & \xrightarrow{F} & D_{sg}(R) \\ & \searrow & \nearrow \\ & \text{St}(\underline{\text{mod-}R}, \Omega) & \text{St}(F) \end{array}$$

Theorem [Keller - Vossieck 1987]

$R$  noetherian. Then

(a)  $\text{St}(\underline{\text{mod-}R}, \Omega)$  is triangulated.

(b)  $\text{St}(\underline{\text{mod-}R}, \Omega) \xrightarrow[\text{St}(F)]{\sim} D_{sg}(R)$

is a  $\Delta$ -equivalence.

Part (a) holds, since

mod-R is a

left triangulated category.

Key idea: "Identify left triang. subcategories"

mod-R,  $\Omega_R$

∪ left triang.

mod-T,  $\Omega_T$

∪ left triang.

add-S<sub>R</sub>,  $\Omega_R$

$\cong$   
↑

left triangulated

add-S<sub>T</sub>,  $\Omega_T$

Where  $S_R \in \text{mod-}R$   
 (respectively,  $S_T \in \text{mod-}T$ )  
 satisfy

(s1) For every  $M \in \text{mod-}R$  there is  
 $n \in \mathbb{N}$  s. th.  $\Omega_R^n(M) \in \underline{\text{add-}}S_R$ .

(s2)  $\underline{\text{add-}}S_R \cong \text{mod-}C$

(s3)  $\Omega_R(S_R) \cong S_R^{\oplus m_R}$  in  $\underline{\text{mod-}}R$ .

(s3)  $\Rightarrow \Omega_R \hookrightarrow \underline{\text{add-}}S_R$

(s2)  $\Rightarrow \underline{\text{add-}}S_R$  semi-simple abelian

as for  $\Delta$ -ted categories  $\Rightarrow$  left  $\Delta$ -ted structure on

$(\underline{\text{add}}-S_R, \Omega_R)$  is trivial,  
and completely determined by (s3).

This has two consequences:

(1)  $(\underline{\text{add}}-S_R, \Omega_R) \subset (\underline{\text{mod}}-R, \Omega_R) (*)$   
left  $\Delta$ -ted subcategory

(2) If  $m_R = m_T$  in (s3) then  
 $(\underline{\text{add}}-S_R, \Omega_R) \stackrel{\text{left } \Delta}{\cong} (\underline{\text{add}}-S_R, \Omega_R)$

Moreover (s1) & (\*) imply

$\text{St}(\underline{\text{add}}-S_R, \Omega_R) \stackrel{\Delta}{\cong} \text{St}(\underline{\text{mod}}-R, \Omega_R)$

(1) & (2) show "Key idea":

$$\left( \underline{\text{mod-R}}, \Omega_R \right) \quad \left( \underline{\text{mod-T}}, \Omega_T \right)$$

U left triang.

U left triang.

$$\left( \underline{\text{add-S}_R}, \Omega_R \right) \stackrel{\text{left } \Delta}{\cong} \left( \underline{\text{add-S}_T}, \Omega_T \right)$$

} Apply  $\text{St}(-)$

$$\boxed{D_{\text{sg}}(R) \dashrightarrow \overset{\Delta}{\cong} D_{\text{sg}}(T)}$$

$\parallel \text{[kv]}$

$$\text{St}(\underline{\text{mod-R}}, \Omega_R) \quad \text{St}(\underline{\text{mod-T}}, \Omega_T)$$

$\parallel \Delta$

$$\text{St}(\underline{\text{add-S}_R}, \Omega_R) \stackrel{\Delta}{\cong} \text{St}(\underline{\text{add-S}_T}, \Omega_T)$$



This finishes the  
proof of:

Prop (cf. X.-W. Chen)

Let  $R$  &  $T$  be syzygy  
Simple of order  $m$ . Then

$$D_{\text{sg}}(R) \cong^{\Delta} D_{\text{sg}}(T).$$

The examples of  
Slyzygy simple algebras  
(in more detail)

Preparations

Thm (Hochster-Roberts 1974)

$$G \subset GL(d, \mathbb{C}) \text{ finite}$$

Then the invariant ring

$$Q := \mathbb{C}[z_1, \dots, z_d]^G$$

is local Cohen-Macaulay  
of Krull dimension  $d$ .

Lem:  $R$  local Cohen-Macaulay.  
of Krull dim.  $d$ .

$$M \in \text{mod-}R.$$

Then  $\Omega^n M \in \text{MCM}(R)$  for  
all  $n \geq d$ .

Cor: If  $R$  is local Cohen-Macaulay.

Can replace condition

"(s1) For every  $M \in \text{mod-}R$  there is  $n \in \mathbb{N}$  s.th.  $\Omega_R^n(M) \in \underline{\text{add-}}S_R$ ."

by condition

"(s1') For every  $X \in \text{MCM}(R)$  there is  $n \in \mathbb{N}$  s.th.  $\Omega_R^n(X) \in \underline{\text{add-}}S_R$ ."

Thm:  $R$  complete local CM.

Then  $\exists \omega_R \in \text{MCM}(R)$  injective.

Cor:  $R$  as above  $N \in \text{MCM}(R)$

Then  $\underline{\text{Hom}}_R(\Omega(N), \omega_R) = 0$

Indeed,

$\parallel$   
 $\text{Ext}_R^1(N, \omega_R)$  } injective

Back to our examples:

Irreducible morphisms in  $\text{MCM}(R)$ :  
[Auslander-Reiten]

$$R = \mathbb{C}[z_1, z_2, z_3]^{\frac{1}{2}(1,1,1)}$$

$$M \begin{array}{c} \uparrow \\ \uparrow \\ \uparrow \end{array} \omega_R$$

stable AR-quiver of  $\text{MCM}(R)$

In particular,

- $M$  and  $w_R$  are only objects in  $\text{ind } \underline{\text{MCM}}(R)$ .

- $\underline{\text{Hom}}_R(M, w_R) = 0$

- $\underline{\text{Hom}}_R(w_R, w_R) \cong \mathbb{C}$

$\text{Cor} \Rightarrow \Omega^n(N) \in \underline{\text{add}} M$  for all  $N \in \text{MCM}(R)$

In particular,  $\Omega(M) = M^{\oplus m_R}$

- $\underline{\text{Hom}}_R(M, M) \cong \mathbb{C} \Rightarrow \underline{\text{add}} M \cong \text{mod-}\mathbb{C}$

## Summing up:

$R$  is syzygy simple of order  $m_R$ .

## Computing $m_R$ :

### Direct approach:

$$(a) \quad 0 \rightarrow M \rightarrow R^{\oplus 3} \rightarrow W_R \rightarrow 0 \quad \text{and}$$

$$(b) \quad 0 \rightarrow R \rightarrow W_R^{\oplus 3} \rightarrow M \rightarrow 0 \quad \text{exact}$$

$$\left. \begin{array}{c} (b) \\ \downarrow \\ (a) \end{array} \right\} \Omega(M) \cong \Omega(W_R)^{\oplus 3} \cong M^{\oplus 3}$$

$$\Rightarrow m_R = 3$$



# K-theoretic approach

Proposition (Chen)  $\Rightarrow D_{\text{sg}}(R) \cong D_{\text{sg}}\left(\frac{\mathbb{C}[z_1, \dots, z_{m_R}]}{(z_1, \dots, z_{m_R})^2}\right)$   
+  $R$  syzygy simple of order  $m_R$

$$\Rightarrow K_0(D_{\text{sg}}(R)) \cong K_0(D_{\text{sg}}(K_{m_R})) \cong \mathbb{Z}/m_R + 1$$

since  $K_{m_R}$  local and  
 $\dim_{\mathbb{C}} K_{m_R} = m_R + 1$

Pavic-Shinder '18:  $K_0(D_{\text{sg}}(R)) \cong \mathbb{Z}/4$

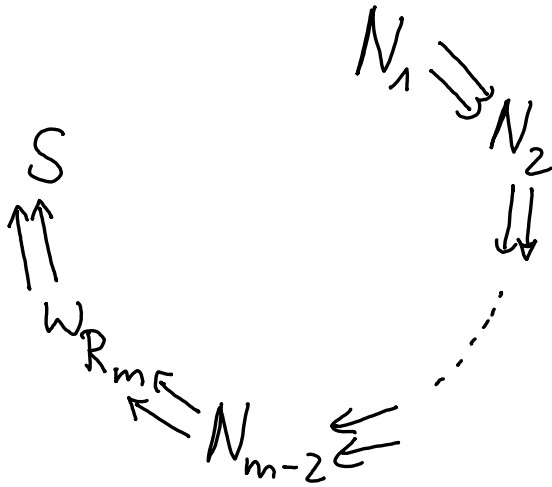
$\Rightarrow$  Again  $m_R = 3$

Surface examples

are treated

similarly:

$$R_m := \mathbb{C}[[z_1, z_2]]^{\frac{1}{m+1}(1,1)}$$



stable  
 Auslander-Reiten  
 quiver

$\leadsto \exists$  non-zero morphisms

$$\left. \begin{array}{l} N_i \longrightarrow W_{R_m} \\ \text{and} \\ W_{R_m} \xrightarrow{\text{id}} W_{R_m} \end{array} \right\} \text{ in } \underline{\text{MCM}}(R_m)$$

Cor.  $\leadsto \Omega(M) \in \underline{\text{add}}\text{-}S$  for  $M \in \underline{\text{MCM}}(R_m)$

Moreover,  $\underline{\text{End}}_{R_m}(S) \cong \mathbb{C}$

$R_m$  is syzygy simple.

Again two approaches to

determine the order:

(1) Using a "right ladder"

for  $S$  in MCM( $R_m$ )

(cf. Iyama)

(2) Again  $D_{\text{sg}}(R_m) \cong D_{\text{sg}}(K_t)$

for some  $t$  (Prop (Chen))

→

$$\begin{aligned} \mathcal{C}(R_m) &\cong K_0(D_{\text{sg}}(R_m)) \cong K_0(D_{\text{sg}}(K_t)) \cong \mathbb{Z}/_{t+1} \\ &\cong \mathbb{Z} \\ \frac{1}{m+1} (1,1) &\cong \frac{\mathbb{Z}}{(m+1)} \end{aligned}$$

⇒  $R_m$  is syzygy simple of  
order  $(m+1) - 1 = m$ .

# Summing up:

$$D_{Sg} \left( \mathbb{C}[z_1, z_2]^{\frac{1}{m}(1,1)} \right) \simeq D_{Sg} \left( \frac{\mathbb{C}[z_1, \dots, z_{m-1}]}{(z_1, \dots, z_{m-1})^2} \right)$$

[D. Yang, Y. Kawamata, K. Karmazyn]  
all  $\sim$  2015

$$D_{Sg} \left( \mathbb{C}[z_1, z_2, z_3]^{\frac{1}{2}(1,1,1)} \right) \simeq D_{Sg} \left( \mathbb{C}[z_1, z_2]^{\frac{1}{4}(1,1)} \right)$$

[K. 2021]

The End.

Thank you very much!

if you have comments  
or questions later, you are  
very welcome to send me  
an email:

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