

Rank 2 free subgroups in autoequivalence groups
of Calabi-Yau categories

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§ 1 Intro.

§ 2 Spherical twists and an inequality about iterations

§ 3 Proof of main results

§ 1. Intro.

Homological mirror symmetry

X : Calabi-Yau variety $\hookrightarrow \mathbb{C}$

(\check{X}, ω) : symplectic manifold

$$D^b_{\text{Fuk}}(X, \omega) \cong D^b(X)$$

(1)

L : Lag. submfld. of X

E : coh. sh. on X

$$\#(L_1 \cap L_2)$$

$$\sum_{i \in \mathbb{Z}} \dim_{\mathbb{C}} \text{Hom}_{D^b(X)}(E_i, E_{i+1})$$

Symp. mapping class gp. of (\check{X}, ω)

Auto equivalence gp. of $D^b(X)$

$$\pi_* \text{Symp}(\check{X}, \omega) \curvearrowright D^b_{\text{Fuk}}(\check{X}, \omega)$$

$$\text{Aut}(D^b(X)) \curvearrowright D^b(X)$$

Real dimension 2 vs Complex dimension 2

conn. ori. closed surf. Σ_g
of genus $g \geq 2$

cpx. alg. k3 surf. X

$$\text{MCG}(\Sigma_g) = \pi_0 \text{Symp}(\Sigma_g, \omega_0)$$

$$\text{Aut}(D^b(X))$$

simple closed curve

$$\gamma \in D^b \text{Fuk}(\Sigma_g, \omega_0)$$

smooth rational curve C

$$(\text{or } \mathcal{O}_C(i) \in D^b(X))$$

More generally, spherical object $E \in D^b(X)$

Dehn twist $T_\gamma \in \text{MCG}(\Sigma_g)$

spherical twists $T_{\theta_c(i)} \in \text{Aut}(X)$

$$i(\gamma_1, \gamma_2) = \sum_i \dim \text{Hom}(\gamma_1, \gamma_2[i])$$

$$\sum_i \dim \text{Hom}(E_1, E_2[i])$$

topological entropy

of $\phi \in \text{MCG}(\Sigma_g)$

categorical entropy

of $\mathcal{I} \in \text{Aut}(D^b(X))$

→ Study $\text{Aut}(D^b(K3))$

as an analogue of $\text{MCG}(\Sigma_g)$

MCG

Today : Rank 2 free subgroup of $MCG(\Sigma_2)$



generated by two Dehn twists

$F_2 := \mathbb{Z} * \mathbb{Z}$: the group generated by 2 elements

with no relation

Fact $\gamma_1, \gamma_2 \subset \Sigma_g$: distinct S.C.C.

(1) If $i(\gamma_1, \gamma_2) = 0$

then $\langle T_{\gamma_1}^{k_1}, T_{\gamma_2}^{k_2} \rangle \cong \mathbb{Z} \times \mathbb{Z}$ for all $k_1, k_2 \in \mathbb{Z} \setminus \{0\}$.

(2) If $i(\gamma_1, \gamma_2) = 1$

then $\langle T_{\gamma_1}^{k_1}, T_{\gamma_2}^{k_2} \rangle \cong F_2$.

except for $(|k_1|, |k_2|) = (|k_1|, 0), (0, |k_2|)$,

$(1, 1), (2, 1), (3, 1), (1, 2), (1, 3)$

relation \neq

(3) If $i(\gamma_1, \gamma_2) \geq 2$

then $\langle T_{\gamma_1}^{k_1}, T_{\gamma_2}^{k_2} \rangle \cong F_2$ for all $k_1, k_2 \in \mathbb{Z} \setminus \{0\}$.

Setting

k : a field

\mathcal{T} : triangulated category of fin. type $/k$

\exists exact equiv. $\mathcal{T} \simeq D(R)^c$.

$\left\{ \begin{array}{l} R : \text{smooth proper dya } /k \\ D(R) : \text{derived cat. of obj } R\text{-mod.} \\ D(R)^c : \text{full subcat. of compact obj. in } D(R) \end{array} \right.$

(R is called a "Morita enhancement" of \mathcal{T})

Let $\tilde{\mathcal{T}} := D(R)$

\rightsquigarrow Since $\left\{ \begin{array}{l} \text{dy-enhancement } D_{dy}(R) \text{ of } D(R) \\ \qquad \qquad \qquad \approx \\ \qquad \qquad \qquad D_{dy}^{\text{perf}}(R) \text{ of } D(R)^c, \end{array} \right.$

We have $\left\{ \begin{array}{l} \tilde{\mathcal{T}} \cong H^0(D_{dy}(R)) \\ \mathcal{T} \cong \tilde{\mathcal{T}}^c \cong H^0(D_{dy}^{\text{perf}}(R)). \end{array} \right.$

bounded cpx. of fin. gen. mod. unbounded cpx. of mod.

$$\mathcal{T} \subset \tilde{\mathcal{T}}$$

$$|| \qquad ||$$

$$D(R)^c \subset D(R)$$

$$\bullet \quad M, N \in \tilde{\mathcal{T}}$$

$$hom^i(M, N) := \dim_k \text{Hom}_{\tilde{\mathcal{T}}}(M, N[i])$$

$$i(M, N) := \sum_{i \in \mathbb{Z}} hom^i(M, N)$$

$\bullet \quad d > 1$: integer

$$\bullet \quad E \in \mathcal{T} : d\text{-spherical obj. i.e.} \begin{cases} \cdot \text{hom}^i(E, E) = \begin{cases} 1 & i=0, d \\ 0 & \text{o.w.} \end{cases} \\ \cdot \text{"CY property"} \end{cases}$$

\hookrightarrow sph. twist $T_E \in \text{Aut}(\tilde{\mathcal{T}})$ preserves $\mathcal{T} (= \tilde{\mathcal{T}}^c)$.

E.g. X : cpx. alg. K3 surf.

$$\mathcal{T} = \mathcal{D}^b(X) := \mathcal{D}^b(\mathrm{Coh}(X))$$

\cap

$$\tilde{\mathcal{T}} = \mathcal{D}(\mathrm{QCoh}(X))$$

$C_1, C_2 \subset X$: distinct (-z) curves on X

Then $\mathcal{O}_{C_1}, \mathcal{O}_{C_2} \in \mathcal{D}^b(X)$ are spherical, and

$$i(\mathcal{O}_{C_1}, \mathcal{O}_{C_2}) = \underset{|}{\mathrm{hom}}_{\mathcal{D}^b(X)}(\mathcal{O}_{C_1}, \mathcal{O}_{C_2}) = C_1 \cdot C_2 .$$

i.e. concentrate in deg ?

$$i \neq j \Rightarrow E_i \notin E_j[m], \forall m \in \mathbb{Z}$$

Thm (k) $E_1, E_2 \in \mathcal{T}$: distinct d-sph. obj.

$$\text{If } i(E_1, E_2) = 0$$

$$\text{then } \langle T_{E_1}^{k_1}, T_{E_2}^{k_2} \rangle \cong \mathbb{Z} \times \mathbb{Z}$$

for all $k_1, k_2 \in \mathbb{Z} \setminus \{0\}$.

Thm (k) $E_1, E_2 \in \mathcal{T}$: distinct d-sph. obj.

Assume a Conjecture.

(1) If $i(E_1, E_2) = 1$

then $\langle T_{E_1}^{k_1}, T_{E_2}^{k_2} \rangle \cong F_2$.

except for $(|k_1|, |k_2|) = (|k_1|, 0), (0, |k_2|),$
 $\underbrace{(1, 1), (2, 1), (3, 1), (1, 2), (1, 3)}_{\text{relation } \mathcal{F}'}$

(2) If $i(E_1, E_2) = \hom_{\mathcal{T}}^j(E_1, E_2) \geq 2$ for some $j \in \mathbb{Z}$,

then $\langle T_{E_1}^{k_1}, T_{E_2}^{k_2} \rangle \cong F_2$

for all $k_1, k_2 \in \mathbb{Z} \setminus \{0\}$.

Related works

Thm (Jongmyeong Kim)

$E_1, \dots, E_m \in \mathcal{T}$: mutually distinct d-sph. obj.

s.t. the dga $\text{End}_{D_{dg}^{\text{perf}}(R)}(\bigoplus E_i)$ is formal, + α

(1) If $i(E_i, E_j) = 0$ for $i \neq j$,

then $\langle T_{E_1}, \dots, T_{E_m} \rangle \cong \mathbb{Z}^{\oplus m}$.

(2) If $i(E_i, E_j) \geq 2$ for $i \neq j$,

then $\langle T_{E_1}, \dots, T_{E_m} \rangle \cong f_m$.