

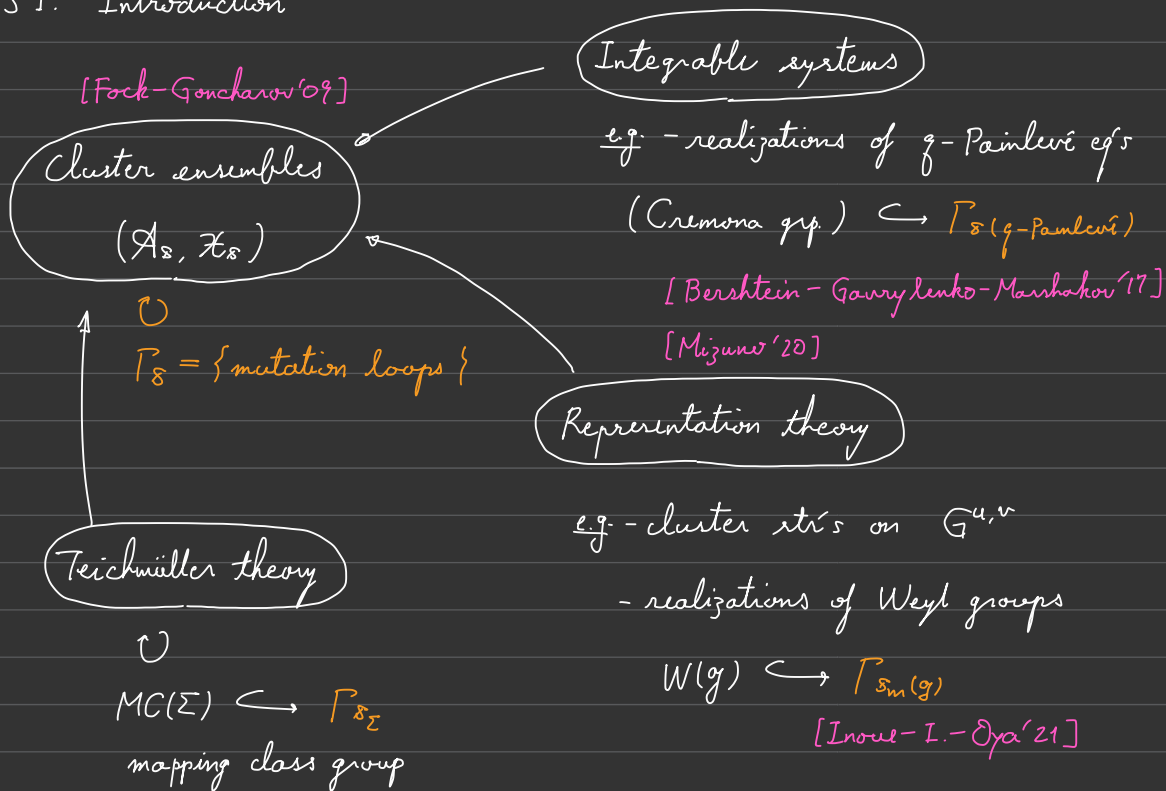
Sign-stable mutation loops & pseudo-Anosov mapping classes

joint work w/ Shunsuke Kano (Tohoku Univ.)

[IK'20-1] arXiv: 2010.05214

[IK'20-2] arXiv: 2011.14320

§1. Introduction



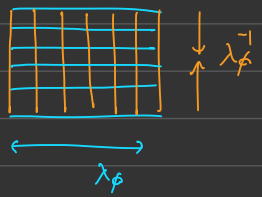
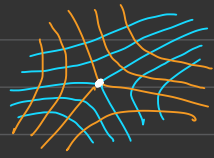
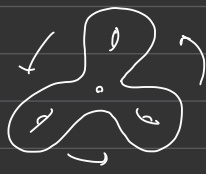
Goal: Generalize the classification / dynamical study of mapping classes to those of mutation loops $\in \Gamma_S$.

Nielsen-Thurston classification



$MC(\Sigma) \ni \phi$ is either:

- periodic (fin. order)
- reducible (\exists an inv. multicurve)
- pseudo-Anosov (pA) (\exists a pair of inv. measured foliations)



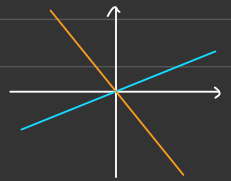
Topological entropy = $\log \lambda_\phi > 0$

("rich" dynamical systems).

Example $\Sigma =$

$MC(\Sigma) \cong \underbrace{PSL_2(\mathbb{Z})}_{\phi} \left(\cong \left(H_1(\Sigma; \mathbb{Z}) \setminus \{0\} \right) / \{\pm 1\} \right)$

- periodic $\Leftrightarrow |\text{tr } \phi| < 2$
- reducible $\Leftrightarrow |\text{tr } \phi| = 2$, $\phi \sim_{\mathbb{Z}} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$, $\exists!$ eigendirection $\leftrightarrow \exists!$ inv. curve in Σ
- pA $\Leftrightarrow |\text{tr } \phi| > 2$, $\phi \sim_{\mathbb{R}} \begin{pmatrix} \lambda & \\ & \lambda^{-1} \end{pmatrix}$ for some $\lambda > 1$, \exists^2 eigendirections $\leftrightarrow \exists^2$ inv. fol. (w/ slope $\in \mathbb{R} \setminus \mathbb{Q}$)



Theorem (I.-Kano)

For a mapping class $\phi \in MC(\Sigma) \subset \Gamma_{\delta\Sigma}$,

1) ϕ : "generic" pA $\Leftrightarrow \phi \in \Gamma_{\delta\Sigma}$ is uniformly sign-stable [IK'19~]

2) In this case, the stable presentation matrix of $\phi \in \Gamma_{\delta\Sigma}$

satisfies a Perron-Frobenius property,

and cluster stretch factor = ~~log~~ λ_ϕ .

Remark

• A general pA can be characterized by a weaker version of unif. SS.

• For a marked surface, pA \Leftrightarrow weak SS + $\mathcal{C}(R_j)$ -hereditariness

[IK'20-2]

§2. Mutation loops

Fix: - a fin. set $I = \{1, \dots, N\}$

- $\mathcal{F}_A \cong \mathcal{F}_X \cong \mathbb{Q}(u_1, \dots, u_N)$

A seed in $(\mathcal{F}_A, \mathcal{F}_X)$ is a tuple (B, A, X) ,

where $B = (b_{ij})_{i,j \in I}$ is an integral skew-sym. matrix

(exchange matrix)

$A = (A_i)_{i \in I} \subset \mathcal{F}_A$, $X = (X_i)_{i \in I} \subset \mathcal{F}_X$: alg. indep. elem's

cluster variables

For $k \in I$, the mutation $\mu_k : (B, A, X) \longrightarrow (B', A', X')$

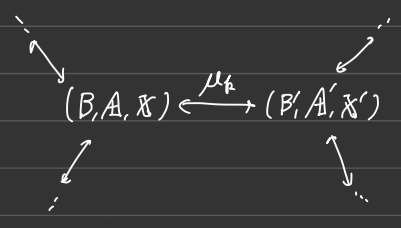
affect

is defined by an explicit rule

$b'_{ij} = \dots$

$A'_i = \dots$

$$X'_i = \begin{cases} X_k^{-1} & (i = k) \\ X_i (1 + X_k^{-1} \text{sgn } b_{ik})^{-b_{ik}} & (i \neq k) \end{cases}$$



Permutations $\sigma: (B, A, X) \longrightarrow (B', A', X')$ defined by

$$b'_{ij} := b_{\sigma^{-1}(i), \sigma^{-1}(j)}, \quad A'_i := A_{\sigma^{-1}(i)}, \quad X'_i := X_{\sigma^{-1}(i)}.$$

Two seeds in $(\mathcal{F}_A, \mathcal{F}_X)$ are mutation-equiv.

if they are connected by a seq. of mutations & permutations.

\rightsquigarrow equiv. class \mathcal{S} is called a mutation class.

Exchange graph

$\text{Exch}_{\mathcal{S}}$: a connected graph w/

vertices: seeds in \mathcal{S} ($v \in V(\text{Exch}_{\mathcal{S}}) \iff \mathcal{S}^{(v)} = (B^{(v)}, A^{(v)}, X^{(v)})$)

edges: $v \xrightarrow{k} v' \iff \mathcal{S}^{(v')} = \mu_k \mathcal{S}^{(v)}$ ($k \in I$)

$v' \xrightarrow{\sigma} v \iff \mathcal{S}^{(v)} = \sigma \mathcal{S}^{(v')}$ ($\sigma = (ij) \in \mathfrak{S}_I$)

An edge path in $\text{Exch}_{\mathcal{S}}$ is usually called a mutation seq.

$$\exists \text{ a proj. } B^\bullet : V(\text{Exch}_S) \longrightarrow \text{Mat}$$

$$v \longmapsto B^\bullet(v)$$

Def A mutation loop is a graph automorphism of Exch_S which preserves each fiber of B^\bullet .

$$\Gamma_S := \{ \text{mutation loop} \} \subset \text{Aut}(\text{Exch}_S) : \text{cluster modular grp.}$$

• $\phi \in \Gamma_S, v_0 \in \text{Exch}_S$

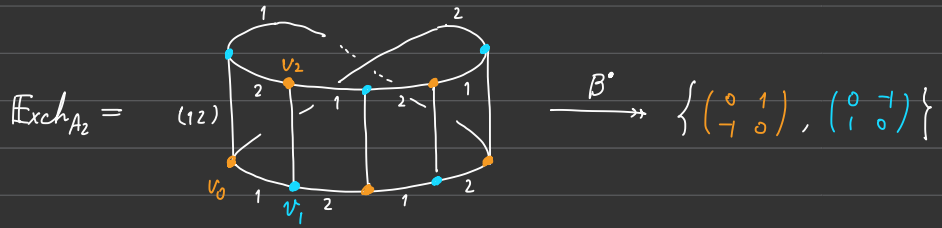
an edge path $\gamma : v_0 \rightarrow \phi^{-1}(v_0)$ in Exch_S is called a representation path of ϕ .

$$\rightsquigarrow \exists \mu_\gamma : S(v_0) \longrightarrow S(\phi^{-1}(v_0)) \quad \text{s.t.} \quad B(v_0) = B(\phi^{-1}(v_0))$$

Rem Alternatively, $\Gamma_S = \{ \text{edge paths in } \text{Exch}_S \} / \sim$ [IK'21-1]

Example (Type A₂)

$$s(v_0) = \left(\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, (A_1, A_2), (X_1, X_2) \right) \text{ in } \mathcal{F}_A = \mathcal{Q}(A_1, A_2), \mathcal{F}_X = \mathcal{Q}(X_1, X_2)$$

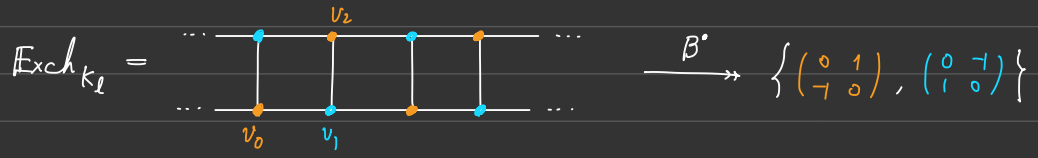


$\Gamma_s = \langle \phi \rangle \cong \mathbb{Z}/5$, $\gamma: v_0 \xrightarrow{1} v_1 \xrightarrow{(12)} v_2$ is a rep. path of ϕ .

Example (Kronecker quivers)

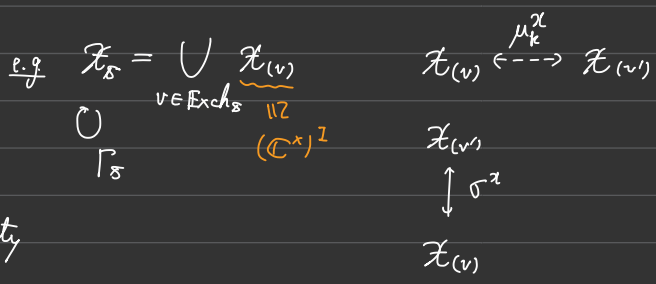
$$s(v_0) = \left(\begin{pmatrix} 0 & l \\ -l & 0 \end{pmatrix}, (A_1, A_2), (X_1, X_2) \right) \text{ in } \mathcal{F}_A = \mathcal{Q}(A_1, A_2), \mathcal{F}_X = \mathcal{Q}(X_1, X_2)$$

$(l \in \mathbb{Z}_{\geq 2})$



$\Gamma_s = \langle \phi \rangle \cong \mathbb{Z}$, $\gamma: v_0 \xrightarrow{1} v_1 \xrightarrow{(12)} v_2$ is a rep. path of ϕ .

Sign stability \mathcal{S} into $(A_{\mathcal{S}}, \mathcal{X}_{\mathcal{S}})$ cluster varieties [FG'07]



Tropical cluster variety

$v \in \text{Exch}_{\mathcal{S}} \rightsquigarrow \mathcal{X}_{(v)}^{\text{trop}} := \mathbb{R}^I$ w/ linear coord's $(x_i^{(v)})_{i \in I}$

$v \xrightarrow{h} v' \rightsquigarrow \mu_k^{\text{trop}}: \mathcal{X}_{(v)}^{\text{trop}} \xrightarrow{\sim} \mathcal{X}_{(v')}^{\text{trop}}$ PL map

$$(\mu_k^{\text{trop}})^* x_i^{(v')} = \begin{cases} -x_k^{(v)} \\ x_i^{(v)} - \delta_{ik} \min\{0, -(\text{sign } \delta_{ik}) x_k^{(v)}\} \end{cases}$$

$v' \xrightarrow{\sigma} v \rightsquigarrow \sigma^{\text{trop}}: \text{permutation}$

$\Rightarrow \mathcal{X}_{\mathcal{S}}^{\text{trop}} = \bigcup_{v \in \text{Exch}_{\mathcal{S}}} \mathcal{X}_{(v)}^{\text{trop}}$: PL mfd.

$\bigcup_{\Gamma_{\mathcal{S}}}$

Explicitly: $\phi \in \Gamma_{\mathcal{S}}, \gamma: v_0 \rightarrow \phi^{-1}(v_0)$ rep. path

$$\rightsquigarrow \phi_{(v_0)}: \mathcal{X}_{(v_0)}^{\text{trop}} \xrightarrow{\mu_{\gamma}^{\text{trop}}} \mathcal{X}_{(\phi^{-1}(v_0))}^{\text{trop}} \cong \mathcal{X}_{(v_0)}^{\text{trop}}$$

$$x_i \leftrightarrow x_i, \forall i \in I$$

Note: $\mu_k^{trop}: \mathcal{X}_{(v)}^{trop} \longrightarrow \mathcal{X}_{(v)}^{trop}$ is linear on $\mathcal{H}_{k,\varepsilon}^{(v)} := \{\varepsilon x_k^{(v)} \geq 0\}$.

$\gamma: v_0 \xrightarrow{k_0} v_1 \xrightarrow{k_1} v_2 \dots \xrightarrow{k_{h-1}} v_h = \phi^{-1}(v_0)$: a rep. path of ϕ

$\{k_{i(v)}, \dots, k_{i(h-1)}\} \subset \{k_0, \dots, k_m\}$: horizontal indices

Define $\mathcal{C}_\gamma^\varepsilon := \bigcap_{v=0}^{h-1} \mathcal{H}_{k_{i(v)}, \varepsilon_v}^{(v_i(v))} \subset \mathcal{X}_{(v_0)}^{trop}$ for $\varepsilon = (\varepsilon_0, \dots, \varepsilon_{h-1}) \in \{+, -\}^h$

Then $\phi_{(v_0)}$ is linear on $\mathcal{C}_\gamma^\varepsilon$, and $\mathcal{X}_{(v_0)}^{trop} = \bigcup_{\varepsilon} \mathcal{C}_\gamma^\varepsilon$

Def γ is weakly sign-stable on an $\mathbb{R}_{>0}$ -inv. set $\Omega \subset \mathcal{X}_{(v_0)}^{trop}$

$\Leftrightarrow \exists \varepsilon_\gamma^{stab} \in \{+, -\}^h$ s.t. $\forall w \in \Omega \setminus \{0\} \exists n_0 \geq 0$:

$\forall n \geq n_0 \quad \phi_{(v_0)}^n(w) \in (\text{int}) \mathcal{C}_{\varepsilon_\gamma^{stab}}$
stable sign

Rem $\cdot \gamma$: sign-stab $\Rightarrow \{\phi_{(v_0)}^n\}$ is stably a linear dynamical system \Leftrightarrow

$\mathcal{E}_{(v_0)}|_{\mathbb{Q}^{stab}} =: E_{\phi, \Omega}^{(v_0)}$ (stable presentation mat.)

$\cdot \gamma$ determines a factorization $E_{\phi, \Omega}^{(v_0)} = \underbrace{J_{k_0} \dots J_{k_m}}_{\text{pres. mat. of } \mu_k|_{\mathcal{H}_{k,\varepsilon}} \text{ (horiz.)}}$
 or σ (vert.)

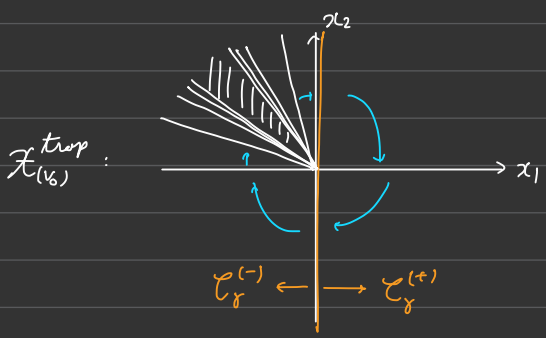
Example (Kronecker quivers)

$$S^{(v_0)} = \left(\begin{pmatrix} 0 & l \\ -l & 0 \end{pmatrix}, (A_1, A_2), (x_1, x_2) \right) \quad (l \in \mathbb{Z}_{\geq 2}) \quad \text{in } \mathcal{F}_\lambda = \mathcal{Q}(A_1, A_2), \mathcal{F}_\lambda = \mathcal{Q}(x_1, x_2)$$

$$\text{Exch } \kappa_1 = \begin{array}{cccc} \cdots & \color{blue}{\bullet} & \color{orange}{\bullet} & \color{blue}{\bullet} & \color{orange}{\bullet} & \cdots \\ \color{orange}{\bullet} & & & & & \\ \cdots & & & & & \end{array} \xrightarrow{B^*} \left\{ \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \right\}$$

$$\Gamma_S = \langle \phi \rangle \cong \mathbb{Z}$$

$\gamma: v_0 \xrightarrow{1} v_1 \xrightarrow{(12)} v_2$ is a rep. path of $\phi \in \Gamma_{\kappa_1}$



$$\phi(v_0) : \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \mapsto \begin{pmatrix} x_2 + l \min\{0, x_1\} \\ -x_1 \end{pmatrix}$$

• γ is sign-stab. on $\mathcal{R} = \mathcal{X}_{(v_0)}^{trop}$

$$E_{\phi, \mathcal{R}}^{(v_0)} = \begin{pmatrix} l & 1 \\ -1 & 0 \end{pmatrix}$$

• eigenvalues: $\lambda^2 - l\lambda + 1 = 0$

$$\lambda = \frac{l \pm \sqrt{l^2 - 4}}{2}$$

Theorem (Perron-Frobenius property [IK'21])

γ : sign-stable on a "tame" net Ω

\Rightarrow spectral radius of $E_{\phi, \Omega}^{(v_0)}$ is an eigenvalue $\lambda_{\phi, \Omega} \geq 1$.

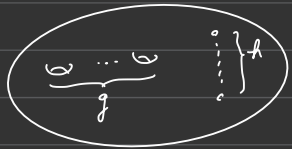
Under a mild assumption, the eigenvector $\in \mathcal{C}_r^{stab}$ 10%.

• When $\Omega \supset \mathcal{C}_{(v_0)}^{\pm} := \{ \pm x_k^{(v_0)} \geq 0, \forall k \in I \}$,

we call $\lambda_{\phi} := \lambda_{\phi, \Omega}$ the cluster stretch factor of ϕ .

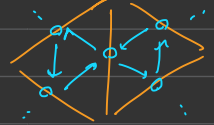
Remark The algebraic entropies of $\phi \in \mathcal{A}_S, \mathcal{X}_S$ are estimated by $\log \lambda_{\phi}$ [IK'21]

§3. Mapping classes on a punctured surface

$\Sigma = \Sigma_g^h =$  , $\chi(\Sigma) = 2 - 2g - h < 0, h > 0$

$MC(\Sigma) := \text{Homeo}^+(\Sigma, \{\text{puncture}\}) / \text{isotopy}$

exchange matrices

Δ : an ideal triangulation of $\Sigma \rightsquigarrow Q_\Sigma :=$ 



Then $\{Q_\Sigma\}_\Sigma$ are mutation-equiv.

$\rightsquigarrow \exists$ a canonical class $s = s_\Sigma$

Rem \exists constructions of seeds in $\mathcal{F}_A = \mathcal{K}(A_{SL_2, \Sigma})$, $\mathcal{F}_X = \mathcal{K}(X_{PGL_2, \Sigma})$ [FG'08]

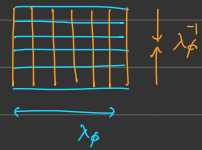
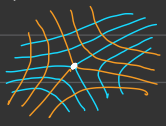
Since $MC(\Sigma) \overset{\text{free}}{\simeq} \{\text{ideal triangulations}\}$, $MC(\Sigma) \hookrightarrow \Gamma_{s_\Sigma}$.

⑩ Geometric models of $\mathcal{F}_{s_\Sigma}^{\text{top}}$: measured foliations / laminations

Nielsen-Thurston classification

$MC(\Sigma) \ni \phi$ is either:

- periodic (fin. order)
- reducible (\exists an inv. multicurve)
- pseudo-Anosov (\exists a pair of inv. measured foliations) (pA)

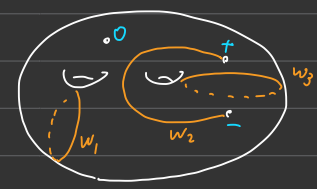


Def A rational (unbounded) lamination consists of:

- a collection $L = \{(\gamma_j, \underbrace{w_j}_{\in \mathbb{Q}_{>0}})\}$ of mutually disjoint weighted curves

- a tuple $\sigma_L = (\sigma_p) \in \{+, 0, -\}^P$ of signs

s.t. $\exists \gamma_j$ incident to $p \iff \sigma_p \in \{+, -\}$



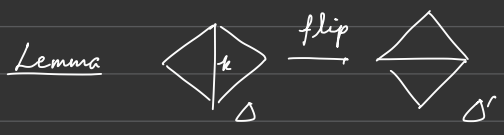
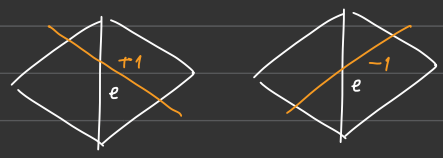
$$\mathcal{L}^x(\Sigma, \mathbb{Q}) := \{ \text{rational lamination} \} / \text{isotopy} \quad u/v \sim u/v$$

Shear coordinates $x_\Delta = (x_e^\Delta) : \mathcal{L}^x(\Sigma, \mathbb{Q}) \xrightarrow{\sim} \mathbb{Q}^{e(\Delta)}$ defined by:

① make a spiralling diagram:



② $x_e^\Delta :=$ weighted sum of the contributions



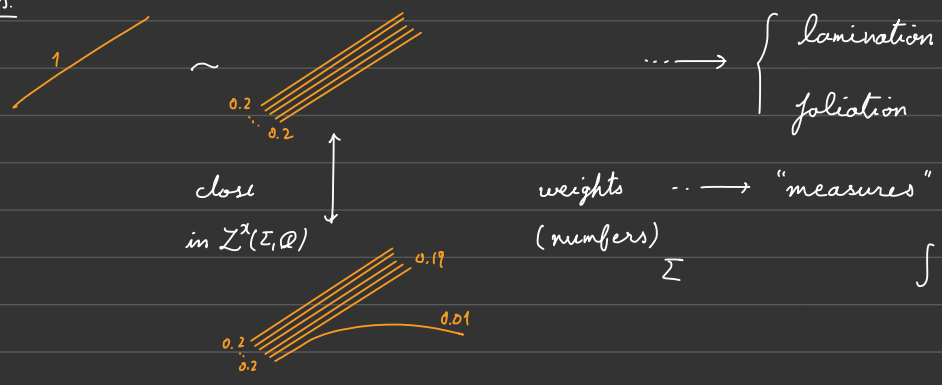
$$x_{\Delta'} \circ x_\Delta^{-1} = \mu_k^{\text{trop}} : \mathbb{Q}^{e(\Delta)} \longrightarrow \mathbb{Q}^{e(\Delta')}$$

$$\mathcal{L}^x(\Sigma, \mathbb{R}) := \overline{\mathcal{L}^x(\Sigma, \mathbb{Q})}$$

$$\xrightarrow{\text{PL}} \mathcal{L}_{\text{PL}, \Sigma}^{\text{trop}}$$

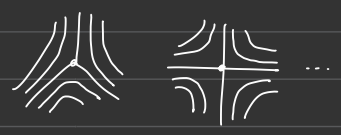
how it looks like?

obs.



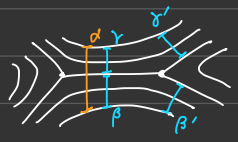
Def A measured foliation consists of:

• \mathcal{F} : a foliation on Σ w/ admissible sing.



• μ : a transverse measure on \mathcal{F}

$\alpha \mapsto \mu(\alpha) = \int_{\mathcal{F}^\perp} \alpha \ll \mathbb{R}_{>0}$
 transverse arc to \mathcal{F}



$\mu(\alpha) = \mu(\beta) + \mu(\gamma)$
 $= \mu(\beta') + \mu(\gamma')$

(\mathcal{F}, μ) is considered modulo isotopy &

Whitehead equivalence:



canonical model

(w/ no saddle connections)

Rem $M\mathcal{F}(\Sigma) \cong \{ \sum x_i^\Delta = 0 \mid p \in \mathbb{P} \} \subset \mathcal{X}_{\Sigma}^{\text{trop}}$

stretch factor

$$\phi \in MC(\Sigma) \text{ is } pA \iff \exists (\mathcal{F}_\pm, \mu_\pm) \in M\mathcal{F}(\Sigma), \exists \Lambda_\phi > 1$$

$$\lambda \dagger \quad f(\mathcal{F}_\pm, \mu_\pm) = (\mathcal{F}_\pm, \Lambda_\phi^{\pm 1} \cdot \mu_\pm)$$

Moreover if the canonical model of $(\mathcal{F}_\pm, \mu_\pm)$ only have 3-plunged singularities, then ϕ is called a generic pA .

Important lemma:

If the can. model of (\mathcal{F}, μ) only have 3-plunged sing's,

then $x_e^\Delta(\mathcal{F}, \mu) \neq 0, \forall e \in \mathcal{V}_\Delta$.

In particular, for any mutation seq. γ , $(\mathcal{F}, \mu) \in \text{int } \mathcal{C}_\gamma^\varepsilon$
for some ε .

Theorem

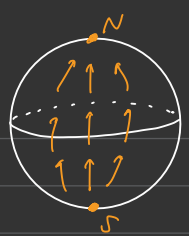
$\phi \in MC(\Sigma)$ is generic $pA \iff$ any rep. path of ϕ is sign-stable on

$$\Omega_Q := \mathbb{R}_{>0} \cdot \mathcal{X}_{\Sigma_Z}(Q^{\text{trop}})$$

i.e. ϕ is uniformly sign stable.

$$\mathcal{L}^2(\Sigma, Q)$$

Moreover, $\Lambda_\phi = \lambda_\phi$.



Sketch of proof:

- A pA mapping class induces the North-South dynamics on $\mathcal{S}\mathcal{X}_{\mathbb{S}^2}^{trop}$:

$$\forall [w] \in \mathcal{S}\mathcal{X}_{\mathbb{S}^2}^{trop} \setminus \{[\mathbb{F}_{\mp}, \mu_{\mp}]\}, \quad \lim_{n \rightarrow \infty} \phi^{\pm n}([w]) = [\mathbb{F}_{\pm}, \mu_{\pm}]$$

$\mathcal{S}\mathcal{V} := (\mathcal{V} \setminus \{0\}) / \mathbb{R}_{>0}$

- Assume ϕ is generic pA. Then for any rep. path γ ,

$$\exists \varepsilon_{\gamma} \in \mathbb{R}_{>0} \text{ s.t. } (\mathbb{F}_{\pm}, \mu_{\pm}) \in \text{int } \mathcal{C}_{\gamma}^{\varepsilon_{\gamma}}$$

The NS dynamics implies $\mathcal{L}^x(\Sigma, \mathbb{Q})$

$$\forall w \in \mathcal{X}_{\mathbb{S}^2}^{trop} \setminus \mathbb{R}_{>0} \cdot (\mathbb{F}_{\pm}, \mu_{\pm}), \quad \phi^n(w) \in \text{int } \mathcal{C}_{\gamma}^{\varepsilon_{\gamma}} \quad \text{for } n \gg 1.$$

Thus "generic pA \Rightarrow unif. SS".

The converse follows from the NT classification:

$$\left. \begin{array}{l} \phi: \\ \left\{ \begin{array}{l} \text{periodic} \\ \text{reducible} \\ \text{non-generic pA} \end{array} \right. \end{array} \right\} \Rightarrow \exists \text{ non-rigid-stable rep path.}$$

$$\bullet T_w \mathcal{X}_{\mathbb{S}^2}^{trop} \cong T_w \mathcal{ML}(\Sigma) \oplus \mathbb{R}^h, \quad E_{\phi, \Omega}^{(v_0)} = (d\phi)_{(\mathbb{F}_{\pm}, \mu_{\pm})} = \left(\begin{array}{c|c} T_{\phi} & * \\ \hline 0 & \sigma \end{array} \right)$$

• It is classically known that $\Lambda_{\phi} = \rho(T_{\phi}) = \rho(E_{\phi, \Omega}^{(v_0)})$

\square permutation of punctures